

**MINUTES**  
**COMMISSION MEETING**  
**OPEN SESSION**  
**May 16, 2007**

The meeting was called to order by Chairman Kenneth C. Krawcheck at 9:35 a.m. Other members present were Vice Chairman Edward E. Duryea, Mr. Marvin D. Infinger, Mrs. Priscilla L. Tanner, Mr. Johnnie M. Walters, Mr. Robert A. Bruce and Mr. G. Carlton Manley. Mrs. Susan P. McWilliams was absent.

Also present:

Mr. Herbert R. Hayden, Jr., Executive Director  
Mrs. Cathy L. Hazelwood, Assistant Director/General Counsel  
Mr. Donald M. Lundgren, Investigator  
Mr. Dan F. Choate, Investigator  
Ms. Regina A. Washington  
Ms. Ami R. Franklin  
Ms. Elizabeth Franklin, Attorney  
Mr. Larry W. Flynn  
Comptroller General Richard Eckstrom  
Mr. Vance Bettis, Attorney

Mr. Krawcheck called the meeting to order.

Mr. Krawcheck recognized Ami Franklin's graduation from the Certified Public Manager's program and the new Commissioner, Mr. G. Carlton Manley.

**MINUTES**

Mr. Infinger made a motion, seconded by Mr. Duryea to approve the open and executive session minutes from the March 2007 meeting, motion carried.

**OFFICE OPERATIONS**

**COMPLIANCE REPORTS**

Mr. Hayden presented the compliance report for March as information only

**BUDGET FY07**

Mr. Hayden presented the budget reports as information only.

**ADVISORY OPINIONS**

AO2007-005 Conflict of Interest

Mr. Krawcheck informed the Commission that this matter has been continued.

AO2007-010 University President Serving on the Board of a Publicly-Traded Company

Mrs. Hazelwood presented the opinion to the Commission. Mr. Infinger made a motion, seconded by Mrs. Tanner to accept the opinion as presented, motion carried.

**LEGISLATION**

Mrs. Hazelwood presented this material to the Commission as information only.

**ADVISORY OPINION**

AO2007-008 Deceased Candidate and His Campaign Committee

Mrs. Hazelwood presented this opinion to the Commission. Mr. Infinger made a motion, seconded by Mr. Walters to accept the opinion as presented, motion carried.

**APPEALS**

C2007-038 State Ethics Commission vs. Robert M. Stokes

Mr. Duryea and Mr. Infinger recused themselves. A motion was made by Mr. Walters, seconded by Mr. Bruce to deny this appeal, motion carried.

C2007-054 State Ethics Commission vs. W. Sump Strickland

Mr. Duryea and Mr. Infinger recused themselves. A motion was made by Mr. Manley, seconded by Mr. Walters to reduce the late filing penalty to \$100, motion carried.

**EXECUTIVE SESSION**

A motion was made by Mr. Duryea, seconded by Mrs. Tanner to go into Executive Session to discuss complaint matters, motion carried.

A motion was made by Mr. Infinger to return to open session, seconded by Mr. Walters, motion carried.

Upon return to open session, Mr. Infinger made a motion seconded by Mr. Walters, to adopt and ratify actions taken in Executive Session, motion carried.

A motion was made by Mr. Walters to adjourn, motion carried.

Respectfully Submitted,

Regina A. Washington  
Administrative Assistant