

**MINUTES**  
**OPEN SESSION**  
**COMMISSION MEETING**

**January 18, 2006**

The meeting was called to order by Chairman Kenneth C. Krawcheck at 9:40 a.m. Mr. Marvin D. Infinger arrived at 9:47 a.m. Other members present were Vice Chairman Edward E. Duryea, Mrs. Priscilla L. Tanner, Mr. Johnnie M. Walters and Mrs. Susan P. McWilliams.

Also present:

Mr. Herbert R. Hayden, Jr., Executive Director  
Ms. Cathy L. Hazelwood, Assistant Director/General Counsel  
Mr. Donald M. Lundgren, Investigator  
Ms. Regina A. Washington, Administrative Assistant  
Mrs. Ami R. Franklin  
Mr. James Chappell  
Mr. John W. Grier

Mr. Krawcheck called the meeting to order.

**MINUTES**

Mr. Duryea made a motion, seconded by Mr. Walters to approve the open and executive session minutes, motion carried.

**OFFICE OPERATIONS**

**COMPLIANCE REPORTS**

Mr. Hayden presented the compliance reports for September and October as information only.

**BUDGET FY05 and FY06**

Mr. Hayden presented the budget information as information only.

**ADVISORY OPINION**

Ms. Hazelwood presented the opinion to the Commission. Mr. Walters made a motion seconded by Mrs. McWilliams to accept the opinion as presented.

**DISCUSSION**

Electronic Filing

Mr. Hayden updated the Commission on the implementation of Phase 1 and advised that the development of Phase 2 would begin immediately.

Newspaper Article

Mr. Hayden presented this article to the Commission as information only.

March Commission meeting

Mr. Hayden informed the Commission that the March meeting would have to be re-scheduled because two Commissioners had conflicting schedules. The meeting was rescheduled for March 8, 2006.

**APPEALS**

C2006-044 State Ethics Commission vs. James H. Chappell

Mr. Chappell presented this appeal to the Commission. Mr. Infinger made a motion, seconded by Mrs. Tanner to accept the appeal and reduce the fine to \$500, motion carried.

C2006-045 State Ethics Commission vs. John W. Grier

Mr. Grier presented his appeal to the Commission. Mrs. McWilliams made a motion, seconded by Mr. Walters to accept this appeal and waive the \$800 fine, motion carried.

A motion was made by Mr. Duryea to go into Executive Session, seconded by Mrs. McWilliams, motion carried.

**EXECUTIVE SESSION**

A motion was made by Mr. Duryea to return to open session, seconded by Mr. Infinger, motion carried

Upon return to open session, Mr. Duryea made a motion seconded by Mr. Infinger, to adopt and ratify actions taken in Executive Session, motion carried.

Mr. Duryea made a motion, seconded by Mr. Walters to adjourn, motion carried.

Respectfully Submitted,

Regina A. Washington  
Administrative Assistant

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