

STATE OF SOUTH CAROLINA )  
 COUNTY OF RICHLAND )  
 )  
 IN THE MATTER OF: )  
 COMPLAINT C2014-092 )  
 )  
 State Ethics Commission, )  
 Complainant; )  
 )  
 John L. Graham, )  
 Respondent. )  
 \_\_\_\_\_ )

BEFORE THE STATE ETHICS COMMISSION

STATE ETHICS  
 COMMISSION

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**DECISION AND ORDER**

This matter comes before the State Ethics Commission by virtue of a complaint filed by the Commission on February 10, 2014. On June 17, 2014, pursuant to S. C. Code Ann. § 8-13-320(10)(i)(Supp. 2013), the State Ethics Commission reviewed the above-captioned complaint charging the Respondent, John L. Graham, with a violation of Section 8-13-1140 and probable cause was found.

Present at the Hearing on were Commission Members James I. Warren, Chair, Francis E. Grimbball, and Julie S. Jeffords-Moose. Respondent was not present, but he was duly noticed. Complainant was represented by Cathy L. Hazelwood, General Counsel. The following charge was considered:

**COUNT ONE**  
**FAILURE TO FILE A STATEMENT OF ECONOMIC INTEREST REPORT**  
**SECTION 8-13-1140, S.C. CODE ANN., 1976, AS AMENDED**

The Respondent, John L. Graham, a Florence County School District #3 Trustee, did in Richland County, fail to timely file a 2013 annual Statement of Economic Interests form on or before April 15, 2013 in violation of Section 8-13-1140.

**FINDINGS OF FACT**

Having carefully reviewed the evidence presented, the Commission finds as fact:

1. The Respondent, John L. Graham, is a Florence County School District #3 Trustee and he is required to file an annual Statement of Economic Interests form (SEI) prior to April 15<sup>th</sup> of each year.

2. Kristin Smith, administrative coordinator of the Commission's non-compliance office, testified that in May 2011 Respondent was elected to the Florence County School District #3 Board of Trustee. Respondent was penalized \$100.00 in an October 22, 2013 letter for failure to file his 2013 SEI. That letter was re-sent certified on November 18, 2013. Respondent amended his 2012 SEI on November 27, 2013. The certified letter was returned as "unclaimed" and was re-mailed first class on December 11, 2013. Penalties began accruing at \$10.00 per day on December 21, 2013 and at \$100.00 per day on December 31, 2013. Respondent filed the 2013 SEI on February 23, 2014; however, the late-filing penalty of \$5,000.00 has not been paid.

3. Commission Investigator Dan Choate testified that on February 22, 2014 he left a message at the District #3 superintendent's office requesting a return call from Respondent. On February 19, 2014 Respondent contacted him and advised he would file the late SEI. On February 23, 2014 Respondent did comply by filing the 2013 SEI. The outstanding penalty remains at \$5,000.00.

#### CONCLUSIONS OF LAW

Based upon the Findings of Fact, the Commission concludes, as a matter of law:

1. During all times relevant, the Respondent, John L. Graham, was a public official, as defined by Section 8-13-100(27).
2. The State Ethics Commission has personal and subject matter jurisdiction
3. Sections 8-13-1110 and 8-13-1140 provide that certain public officials shall



file a Statement of Economic Interests prior to taking the oath of office and annually thereafter prior to April 15.

4. Section 8-13-1510(1) provides as follows:

Except as otherwise specifically provided in this chapter, a person required to file a report or statement under this chapter who files a late statement or report or fails to file a required statement or report must be assessed a civil penalty as follows:

(1) a fine of one hundred dollars if not filed within five days after the established deadline provided by law in this chapter;

(2) after notice has been given by certified or registered mail that a required statement or report has not been filed, a fine of ten dollars per calendar day for the first ten days after notice has been given, and one hundred dollars for each additional calendar day in which the required statement or report is not filed, not exceeding five thousand dollars.

5. Section 8-13-130 states in part:

The State Ethics Commission may levy an enforcement or administrative fee on a person who is found in violation, or who admits to a violation, of the "Ethics, Government Accountability and Campaign Reform Act of 1991". The fee must be used to reimburse the commission for costs associated with the investigation and hearing of a violation.

**DECISION**

NOW, THEREFORE, based on the foregoing Findings of Fact and Conclusions of Law, the State Ethics Commission has determined based upon a preponderance of evidence that Respondent John L. Graham is in violation of Section 8-13-1140; and therefore, Respondent John L. Graham is hereby issued a Publicly Reprimand and in addition to the late-filing penalty of \$5,000.00, he is assessed an administrative fee of \$500.00.

IT IS FURTHER ORDERED, pursuant to Section 8-13-320, a Judgment in the amount of \$5,500.00 is, and shall be entered against Respondent.

IT IS FURTHER THE ORDER OF THIS COMMISSION that the Clerk of Court



of the County in which Respondent was last known to reside shall enter this Order in its Judgment Rolls, without cost to the State Ethics Commission, in the amount of \$5,500.00 upon the Commission's filing of same with the Clerk of Court's Office.

FINALLY, Respondent John L. Graham has ten (10) days from receipt of this order to appeal this Decision and Order to the full Commission.

IT IS SO ORDERED THIS 15<sup>th</sup> DAY OF October, 2014.

STATE ETHICS COMMISSION

  
JAMES I. WARREN, III  
HEARING CHAIR

COLUMBIA, SOUTH CAROLINA

